Session outline:

REF Open Access policy *(articles/conferences)*
- help & support
- how to comply – step by step
- exceptions to the policy
- monitoring and compliance

Non-REF related Open Access considerations
- other funder requirements
- open data

What else can you do?
- ORCiD
More exposure for your work

Researchers in developing countries can see your work

Taxpayers get value for money

Compliant with grant rules

The public can access your findings

Practitioners can apply your findings

Higher citation rates

Your research can influence policy
This is an Accepted Manuscript of an article published by IET in Engineering & Technology Reference (2016) available at: http://digital-library.theiet.org/content_journals/10.1049/iet-etr.2014.0673

Title: Threats and Impacts in Maritime Cyber Security

Kevin D Jones Faculty of Science & Engineering, Plymouth University, United Kingdom
Kimberly Tam HP Enterprise, Bristol, United Kingdom
Maria Papadaki School of Computing, Electronics & Mathematics, Plymouth University, United Kingdom

Abstract

In an increasingly connected and technologically dependent world, new areas of vulnerability are emerging. This article explores the unique challenges of maritime cyber security in order to better understand vessels at sea, together with the shore based infrastructure supporting this industry. It explores the cyber-attacks possible on maritime-related systems for navigation, information related functions. We illustrate the potential severity of the problem by providing...
HEFCE Policy = Green Open Access

➢ From 1 April 2016:

To be eligible for submission to the post-2014 REF, all manuscripts of journal articles & conference papers (in proceedings with an ISSN) **accepted** for publication post 1\textsuperscript{st} April 2016 must be **deposited by the author into a repository (within 3 months of publication)**. The policy allows repositories to respect embargo periods set by publishers.

➢ From 1 April 2017:

As above but timing of deposit is different: **within 3 months of acceptance**.
What does the **Green** route look like?

- Staff accounts fed by iTrent
- Publication records harvested by Web of Science & others (Orcid)
- Staff deposit manuscripts
- Elements.plymouth.ac.uk
- Reporting for REF, other management purposes etc
- EThOS e-theses online service
- Google scholar
- PRIMO WITH PLYMOUTH UNIVERSITY
- Staff accounts fed by iTrent
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- EThOS e-theses online service
- Google scholar
- PRIMO WITH PLYMOUTH UNIVERSITY
3 key things you will need to ‘act upon publication’:

- Accepted manuscript
  - Incorporating link to published version
  - Saved as .pdf

- Acceptance date

- Embargo period
  - Needs to be located before going to Elements
  - Links given within Elements to determine this
Exceptions, Monitoring & Compliance:

Exceptions:

Exceeding embargo maxima:
- 12 months Panels A&B
- 24 months Panels C&D

Deposit denied by publisher:
- Publication “actively disallows” open access deposit

“....and was the most appropriate publication for the output”

3rd party content: Paper includes reproduction of 3rd party content

Monitoring:

Acceptance date:
“Evidence that the institution had processes in place to communicate and enforce the policy effectively. (Example: processes to seek periodic confirmation of compliance from authors.) N.B. we do not expect institutions to retain copies of acceptance letters or emails as evidence.”

Compliance:

Reports:
Run reports via Elements to determine levels of compliance and to record exceptions for HEFCE as part of the REF submission.
Repository deposit:
- PEARL (via Elements)

Considerations:
- Which version to upload?
  - Usually **final peer reviewed author accepted manuscript** i.e. Pre-publication
- Embargo period?
- Acceptance date
- Copyright statement (i.e. link to ‘version of record’)

Open Access via publisher websites:
- Usually a cost (Article Processing Charge - APC)
- Immediately available / no embargos
- Final published version is available to all
- **Grant available to cover APCs for some RCUK funded work**
- Funders may stipulate signing of a particular Creative Commons licence: usually **CC-BY**.

Also need to deposit Gold papers (or push record with the article doi) to PEARL
What else could you do?

- Create an [ORCID] account and ‘distinguish yourself’
  *[future automated deposit?]*

- Deposit i.e. ‘publish’ your data
  May be a funder requirement to make your underlying data publicly available

Follow progress/get involved with the JISC RDMSS project
http://plymouth.ac.uk/research

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About research at Plymouth University
- Our research
- Study and opportunities
- Innovation services
- Supporting research

APPLYING FOR RESEARCH FUNDING
- Call notification services
- Sources of funding services
- Application process
- Guidance
- Admin Information forms
- University documents
- Useful contacts

INTEGRITY & ETHICS
- Intellectual property matters
- Research data management
- Publication and authorship
- Research ethics
- Health, medical and clinical research
- Facilities and equipment
- Commercialisation and innovation

RESEARCH MANAGEMENT
- Managing awards
- Ethics management
- Open access
- Reporting mechanisms
- Research income and activity reporting

RESEARCHER DEVELOPMENT
- Research policy and practice
- The Concordat: supporting career development
- Implementing the Concordat at Plymouth
- HR Excellence in Research
- Researcher Development Framework

[Image of a woman with long hair extending into the background]
Open Access benefits?


Davis, P. (2014) ‘Is open access a cause or an effect?’, *The Scholarly Kitchen*, Available at: http://scholarlykitchen.sspnet.org/2014/08/05/is-open-access-a-cause-or-an-effect/

Open Access Publishing (REF)

openaccess@plymouth.ac.uk

http://plymouth.libguides.com/research

Information Specialists (Library & Digital Support)